



Office of Inspector General



# Strategic Plan

2026-2031

**AUDITS**

*OBJECTIVITY*

**COUNSEL**

*INTEGRITY*

**INVESTIGATIONS**

*INDEPENDENCE*

**MANAGEMENT**

*TRANSPARENCY*

**IMMEDIATE OFFICE**

*EXCELLENCE*

## Inspector General's Message



For more than 36 years, the Office of Inspector General (OIG) at the Federal Deposit Insurance Corporation (FDIC) has provided strong, independent oversight of FDIC programs and operations. We conduct audits, evaluations, and other reviews of FDIC matters consistent with professional standards, and we make meaningful recommendations for improvements and efficiencies at the FDIC. We also conduct significant investigations that help to preserve the integrity of the FDIC and the banking sector.

Our Strategic Plan is driven by goals that are critical to our success: Promoting accountability and integrity through audits and investigations; Strengthening relationships with stakeholders; Managing and optimizing resources and technology; and Valuing our people and workplace culture. The Plan states our Mission, Vision, Values, Goals, Strategic Objectives, and Key Strategies/Initiatives. Our component offices are committed to working together to implement the initiatives outlined and to holding ourselves accountable for performance in each goal area. The OIG's collective efforts will guide our Office as we strive to promote economy, efficiency, effectiveness, and integrity in FDIC programs and operations and maximize the impact of our operations.

I appreciate the support of FDIC management and thank all OIG personnel for their dedication to the OIG Mission and the work that they do every day as public servants. I am also especially thankful for the efforts of the OIG's Strategic Plan Working Group, whose valuable perspectives helped shape this plan.

A handwritten signature in black ink that reads "Jennifer L. Fain". The signature is fluid and cursive.

Jennifer L. Fain  
*Inspector General*



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# FDIC OIG 2026 – 2031 STRATEGIC PLAN

## Our Mission

To deliver credible results that drive meaningful change, enhance integrity and accountability, and maintain public trust in the FDIC.

## Our Vision

To be a leader within the IG community through proactive [forward-looking], agile [adaptive], and innovative [creative] oversight of FDIC programs and operations.

## Our Values

We prioritize the core values of integrity, objectivity, independence, excellence, and transparency to ensure that our work is conducted ethically and efficiently and apply these same values to our own internal OIG operations:

- **Integrity.** We adhere to the highest ethical principles and accountability in conducting our work in a trustworthy manner. Integrity ensures we can effectively carry out our mission, by promoting efficiency, effectiveness, and accountability in FDIC operations.
- **Objectivity.** We maintain impartiality, fairness, balance, and credibility in our work and recommendations. Objectivity helps us fulfill our mission, by providing accurate oversight and fostering accountability in FDIC operations.
- **Independence.** We ensure autonomy from external influences to promote unbiased oversight, trust, and protection from retaliation (for example, supporting whistleblowers and encouraging the

reporting of misconduct). Independence enables us to effectively accomplish our mission, promoting integrity, accountability, and transparency in FDIC programs and operations.

- **Excellence.** We strive to achieve the highest quality in the performance and results of our work. By prioritizing excellence, we aim to ensure that our work leads to significant improvements in FDIC programs and operations and fosters public trust.
- **Transparency.** We aim to foster open communication and accessibility in programs, operations, and results both internally and externally. Through transparency we increase public confidence and promote effective governance at the FDIC by ensuring our oversight activities are understood and valued.

## Our Strategic Goals

- Promote accountability and integrity in FDIC programs and operations
- Strengthen relations with our partners and stakeholders
- Manage and optimize resources and technology
- Value our people and workplace culture

# INTRODUCTION

The Federal Deposit Insurance Corporation (FDIC) Office of Inspector General (OIG) is a statutorily created independent office, whose core purpose is to prevent and detect fraud, waste, abuse, and mismanagement in FDIC programs and operations; and to promote economy, efficiency, and effectiveness at the FDIC. The OIG provides independent oversight of the FDIC by conducting audits, evaluations, investigations, and other reviews; and keeping the Chairperson and Congress fully and currently informed about problems and deficiencies relating to the administration of FDIC programs and operations.

The mandate of the OIG is derived from the Inspector General Act of 1978, as amended.

The OIG is headed by an Inspector General (IG), who is appointed by the President without regard to political affiliation, is subject to Senate confirmation, and reports to the Chairman of the FDIC and Congress. The OIG consists of the Immediate Office; Office of Audits; Office of Investigations; Office of Management; and Office of General Counsel.

The OIG exercises operational independence, as established by the IG Act, in the conduct of its duties and responsibilities. This independence allows the OIG to initiate, conduct, and report on audits, evaluations, investigations, and other reviews, ensuring that the OIG can fulfill its responsibilities effectively and impartially. Our mandate and organizational structure are critical for maintaining integrity and objectivity in OIG work and upholding accountability and transparency within the FDIC.

# WHAT WE DO

By conducting audits, evaluations, investigations, and other reviews, the OIG identifies and reports on: (a) inefficiencies, (b) potential fraud, waste, abuse, and misconduct, and (c) areas for improvement. Through our oversight functions, the FDIC can better protect depositors and financial consumers, ensuring their interests are safeguarded and promoting the overall stability of the nation's banking system.

An OIG's primary duties, roles, and responsibilities can be summarized as:



**Auditing.** Conduct audits, evaluations, and other reviews of FDIC programs and operations to assess efficiency, effectiveness, and compliance with laws and regulations.



**Investigating.** Investigate allegations of fraud, waste, abuse, and misconduct involving FDIC employees, contractors, programs, or operations.



**Reporting.** Prepare and submit reports on audit, evaluation, investigations, and other review findings to enhance accountability and internal controls, promote ethical conduct, support prosecutions and other legal action, and support continuous improvement to FDIC leadership, including the Chairman and Congress.



**Recommending.** Follow up on the implementation of recommendations made in audits, evaluations, investigations, and other reviews to ensure compliance and improvement in FDIC programs and operations.



**Preventing Fraud.** Develop and implement programs aimed at preventing, deterring, and detecting fraud, waste, and abuse within FDIC programs and operations.



**Collaborating.** Work together as a team and partner with other government agencies, law enforcement, and external organizations to share information and improve oversight efforts.



**Supporting.** Contribute to the success of the operational components of the OIG by providing administrative, legal, management, and technical support.

Through these functions, the OIG promotes a culture of accountability and transparency, helps ensure FDIC resources are used appropriately, and drives economy and efficiency in FDIC programs and operations.

# STRATEGIC GOALS AND OBJECTIVES

As an independent agency created by Congress in 1933, the FDIC seeks to maintain stability and confidence in the nation's financial system. This five-year Strategic Plan captures the goals and objectives that the OIG will pursue as we carry out our oversight responsibilities at the FDIC.

In furtherance of the FDIC mission, and to provide the best possible oversight, we are inspired by four strategic goals essential to advancing our mission and sustaining long-term impact:

- 1. Promote Accountability and Integrity in FDIC Programs and Operations:** Fulfill our statutory mandate of conducting and supervising audits and investigations responsibly and with transparency.
- 2. Strengthen Relations with Our Partners and Stakeholders:** Enhance and support relations with our key partners and stakeholders, each of whom plays a critical role in advancing our mission.
- 3. Manage and Optimize Resources and Technology:** Administer resources prudently, safely, securely, and efficiently.
- 4. Value Our People and Workplace Culture:** Cultivate leadership and high-performing teams.

These goals address our statutory responsibilities; recognize the importance of our partners and stakeholders; acknowledge our reliance on well-managed and optimized resources and technology; and respect the value of our people and workplace culture.

As an organization, we are committed to working together to pursue these goals with a strong sense of purpose, an unwavering dedication to public service, and a sincere desire to have a positive impact at the FDIC and in the broader financial system.



## **STRATEGIC GOAL 1**

Promote Accountability and Integrity in FDIC Programs and Operations—Fulfill our statutory mandate of conducting and supervising Audits and Investigations responsibly and with transparency.

### **Objectives**

#### **1.1 Audits, Evaluations, and Other Reviews**

- Conduct high-impact audits, evaluations, and other reviews focused on critical risk areas, ensuring relevance, timeliness, and adherence to professional standards.
- Write clear, concise, and persuasive reports based on reliable evidence and sound analysis, effectively communicating findings and recommendations.
- Make recommendations that effect change, address control weaknesses, and focus on outcome-oriented results, and ensure effective implementation by the FDIC through enhanced monitoring.

#### **Strategies/Initiatives for Goal 1.1.**

- Independent and risk-based audits, evaluations, and other reviews.
- Timely completion of planned work and delivery of resulting products.
- Findings and recommendations to improve FDIC programs and operations.
- Identification of potential monetary savings.
- Effective quality review processes.
- Robust tracking and follow-up on recommendations for accountability.

## 1.2 Investigations

- Investigate significant matters of wrongdoing and misconduct relating to agency employees, contractors, and institutions following professional standards.
- Build strong relationships with FDIC and law enforcement partners to drive key investigations and ensure appropriate actions are taken based on investigative findings.
- Enhance information sharing to proactively identify, assess, and respond to dynamic risks and support law enforcement initiatives and cases in the financial sector.

### Strategies/Initiatives for Goal 1.2.

- Timely, relevant high-impact cases involving FDIC-insured/supervised institutions and wrongdoing internal to the FDIC to instill integrity.
- Proactive targeting of cyber-enabled financial fraud and other emerging trends.
- Responsiveness to hotline complaints.
- Adherence to Federal guidelines and standards.
- Outreach to the FDIC, mutually beneficial collaborations with law enforcement and IG community partners.
- Leveraging data analytics and technological capabilities.



## **STRATEGIC GOAL 2**

Strengthen Relations with Our Partners and Stakeholders—Enhance and support relations with our key partners and stakeholders, each of whom plays a critical role in advancing our mission.

### **Objectives**

- 2.1 Develop and maintain professional working relations with OIG, FDIC, congressional, and public stakeholders to assess priorities, promote accountability, and inform oversight efforts.
- 2.2 Enhance understanding of emerging issues and mission critical operations through stakeholder engagement.
- 2.3 Work to ensure that the rights and protections of Whistleblowers and complainants are respected and upheld.
- 2.4 Build coalitions with other OIGs, government agencies, and law enforcement partners.
- 2.5 Ensure transparency to keep the American people informed, raise awareness, and build trust.

### **Strategies/Initiatives for Goal 2.**

- Open communications with OIG and FDIC senior leadership, management, and staff.
- Regular Congressional contacts with Members and majority/minority staffs.
- Whistleblower Protection education and awareness.
- Active participation in IG community activities and beneficial working groups.
- Full transparency and public disclosure of OIG products and alerts for depositors and consumers, as permissible by law.
- Responsiveness to media and public inquiries and FOIA/Privacy Act requests.

## **STRATEGIC GOAL 3**

Manage and Optimize Resources and Technology—Administer resources prudently, safely, securely, and efficiently.

### **Objectives**

- 3.1. Optimize resource management by balancing workload with available resources and leveraging technology for greater efficiency.
- 3.2. Maintain a skilled workforce through ongoing development and training to meet evolving needs.
- 3.3. Measure productivity and efficiency regularly to drive continuous improvement.
- 3.4. Comply with legal and ethical standards, rules, principles, and guidelines.
- 3.5. Safeguard sensitive information to maintain security and privacy standards.

### **Strategies/Initiatives for Goal 3.**

- Enterprise risk management and strategic planning.
- Investment in information technology, data analytics, Artificial Intelligence, and machine learning to advance and facilitate OIG work.
- Legally sufficient and sound products, policies, and procedures.
- Attention to ongoing staffing needs and succession management.
- Process improvements and innovation.
- Responsible financial stewardship.
- Monitoring of office-wide performance.
- Adherence to security and privacy standards.



## **STRATEGIC GOAL 4**

Value Our People and Workplace Culture—Cultivate leadership and high-performing teams.

### **Objectives**

- 4.1 Foster strategic thinking and leadership at all levels to align actions with office goals and priorities.
- 4.2 Maximize performance and collaboration by empowering employees, providing development opportunities, and promoting teamwork.
- 4.3 Encourage innovation and different perspectives to drive growth, creativity, and problem solving.
- 4.4 Improve transparency and communication to ensure clarity and build trust across the organization.
- 4.5 Recognize and mentor employees to celebrate contributions, develop potential, and maintain high engagement.

#### **Strategies/Initiatives for Goal 4.**

- Line of sight for OIG staff to Mission, Vision, Values.
- Prioritization of efforts.
- Workplace culture marked by ethical behavior, mutual respect, and adherence to OIG values.
- Highly skilled, expert staff who understand and keep pace with trends and risks.
- Emphasis on leadership and teamwork, and collaboration among OIG headquarters and regional staff.
- Opportunities for leadership, continued training, and professional development.
- Mechanisms for staff input and OIG management feedback.
- Acknowledgement of staff efforts and excellence.

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# Office of Inspector General

Federal Deposit Insurance Corporation



**HOTLINE**

**Do you suspect fraud, waste, abuse, mismanagement, or misconduct in FDIC programs or operations, or at FDIC banks?**

For example:

- Fraud by bank officials or against a bank
- Cybercrimes involving banks
- Organizations laundering proceeds through banks
- Wrongdoing by FDIC employees or contractors

**Make a Difference and Contact Us:**



[www.fdicig.gov/oig-hotline](http://www.fdicig.gov/oig-hotline)



1-800-964-FDIC



3501 Fairfax Drive • Room VS-D-9069 • Arlington, VA 22226

The OIG reviews all allegations and will contact you if more information is needed.

Individuals contacting the Hotline via the website can report information openly, confidentially, or anonymously.



The Office of Inspector General (OIG) Hotline is a convenient mechanism employees, contractors, and others can use to report instances of suspected fraud, waste, abuse, mismanagement, or misconduct within the FDIC and its contractor operations or at FDIC-supervised or insured banks. Instructions for contacting the Hotline can be found at [www.fdicig.gov](http://www.fdicig.gov).

Whistleblowers can contact the OIG's Whistleblower Protection Coordinator through the Hotline by indicating: Attention: Whistleblower Protection Coordinator.