



# FDIC Office of Inspector General

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***Make a Difference***



Office of Inspector General



Integrity ★ Independence ★ Accuracy ★ Fairness Objectivity  
Accountability ★ Transparency ★ Professionalism ★ Judgment



## GET TO KNOW THE OIG

Our mission is to provide independent oversight of the Federal Deposit Insurance Corporation (FDIC). The Office of Inspector General (OIG) has two primary functional areas:

- Audits and Evaluations; and
- Investigations

Our Audits and Evaluations examine programs and operations at the FDIC, assess their effectiveness, and identify ways to improve the agency. To gain a thorough understanding of the programs, we examine and scrutinize relevant policies, procedures, processes, and practices; interview FDIC officials and personnel; and analyze data. Based upon this review, we make recommendations to improve the efficiency of the FDIC.

We also investigate matters involving criminal, civil, and administrative wrongdoing. Our Special Agents are fully authorized Federal law enforcement agents, and our law enforcement authority extends to offenses affecting the FDIC or its insured institutions. Our OIG Special Agents pursue cases involving sophisticated illicit schemes of bank fraud, embezzlement, money laundering, and other crimes committed by bank executives and insiders. We work collaboratively with our law enforcement partners at Federal, state, and local agencies, as well as other Offices of Inspector General.

The FDIC OIG employs an experienced cadre of more than 130 professionals with diverse backgrounds. The OIG carries out its mission at FDIC Headquarters and in six Regional Offices. Our OIG personnel are highly-skilled specialists with expertise in auditing, accounting, banking, and financial disciplines – they are among the nation's finest!

## VISION

**Serving** the American people as a recognized leader in the Inspector General community.

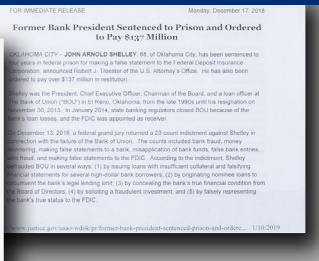
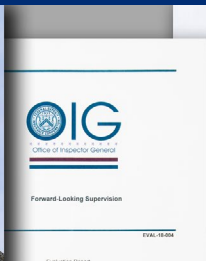
**Driving** change and making a difference by prompting and encouraging improvements and efficiencies at the FDIC.

**Helping** to preserve the integrity of the agency and the banking system, and to protect depositors and financial consumers.



*"The Office of Inspector General provides strong independent oversight of the FDIC and its programs and operations. It is a unique aspect of American democracy to be able to examine government programs, ask questions, probe deeply, identify deficiencies, and make recommendations for improvement. We also investigate allegations of wrongdoing in order to deter and detect criminal, civil, and administrative offenses, and to preserve the integrity of the agency and banking system. We are firmly committed to public service, and we execute our responsibilities in accordance with the highest standards."*

Jay N. Lerner  
Inspector General



## MAKING A DIFFERENCE

The OIG does important work to promote economy, efficiency, and effectiveness of agency programs and operations and to prevent and detect waste, fraud, and abuse. In addition to serving as the FDIC's internal watchdog, the OIG also conducts criminal investigations of fraud and other crimes at the institutions that the FDIC regulates and insures. The OIG's efforts help to preserve the integrity of the agency and the financial system, and to protect depositors and financial consumers.

- ★ FDIC employees and contractors have an obligation to report waste, fraud, and abuse to the OIG. FDIC managers and leaders must not retaliate against employees for contacting the OIG.
- ★ FDIC employees and contractors are required to cooperate with the OIG. Upon OIG request, FDIC employees and contractors must provide to the OIG full and timely access to all records and other materials available to the agency.
- ★ The OIG maintains a Hotline through which FDIC employees and contractors may report suspected waste, fraud, and abuse. Information about how to submit information to the Hotline – by email, phone, or mail – can be found at [www.fdicigo.gov/oig-hotline](http://www.fdicigo.gov/oig-hotline).
- ★ The OIG will maintain confidentiality when requested, subject to legal requirements. The OIG also has a role in protecting whistleblowers, and FDIC employees may contact the OIG whistleblower coordinator to learn about their rights ([www.fdicigo.gov/whistleblower-protection](http://www.fdicigo.gov/whistleblower-protection)).

The OIG is independent and follows professional standards to produce reports and other work that are objective and balanced.

To make recommendations that are practical and actionable, the OIG communicates with management and maintains an open dialogue as report conclusions and recommendations are being developed.

The relationship between the OIG and the FDIC is one of mutual respect and professionalism. Maintaining such a relationship will advance our common goal of preserving the integrity and improving the effectiveness of FDIC operations and programs. We must ensure that the FDIC remains a trusted steward of the nation's financial system.

***If you have reason to believe a crime has been committed related to FDIC programs and operations or have knowledge of waste, fraud, and abuse involving FDIC programs and operations, you may contact the FDIC OIG. More info at our website: [www.fdicigo.gov/oig-hotline](http://www.fdicigo.gov/oig-hotline)***



## WHISTLEBLOWERS

The OIG has established a Whistleblower Protection Coordinator Program in accordance with the law. The Whistleblower Protection Coordinator is responsible for:

- ★ Educating agency employees about the prohibitions, rights and remedies against retaliation for protected disclosures, including how to seek review of retaliation claims, the timeliness of such cases, and potential relief.
- ★ Promoting timely and appropriate review of protected disclosures and reprisal complaints received by the OIG.
- ★ Coordinating with the Office of Special Counsel and other agencies and entities on whistleblower matters.

The OIG Whistleblower Protection Coordinator is not permitted to act as an employee's or former employee's legal representative, agent, or advocate.

Under the Whistleblower Protection Act, FDIC officials are prohibited from taking, failing to take, as well as threatening to take or fail to take a personnel action because of an FDIC employee's protected whistleblowing.

You may report allegations of wrongdoing on a confidential basis. More details may be found on the OIG Hotline website:

**[www.fdicigoig.gov/oig-hotline](http://www.fdicigoig.gov/oig-hotline)**



## FAQs

### **What does the OIG investigate?**

The OIG has authority to investigate suspected violations of law, rules, regulations, mismanagement, misconduct, gross waste of funds, and abuse of authority, involving FDIC programs and operations.

### **How do I know when to report wrongdoing?**

FDIC employees and contractors with a reason to believe that waste, fraud, abuse, and mismanagement has occurred should contact the OIG for a consultation.

### **Am I a 'whistleblower'?**

Whistleblower status is defined by statute and carries specific rights and responsibilities. Please contact our OIG Hotline and/or Whistleblower Protection Coordinator for more details.

### **Can I report something anonymously?**

If you request confidentiality, we will honor your request, subject to legal requirements.

### **What happens when I contact the OIG?**

Your allegations will be evaluated by OIG staff. You may be contacted by the OIG to follow up, for further details, unless you submit anonymous information via U.S. mail.

### **Will I be protected from retaliation by my supervisor?**

Retaliation against whistleblowers is prohibited. You may contact the OIG's Whistleblower Protection Coordinator if you believe you have been subjected to whistleblower retaliation. Instructions for contacting the Coordinator are located on the OIG website here:

**[www.fdicigoig.gov/whistleblower-protection](http://www.fdicigoig.gov/whistleblower-protection)**.



## CONTACT INFORMATION

### Twitter

@FDIC\_OIG

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### OIG website

[www.fdicigoig.gov](http://www.fdicigoig.gov)

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### Hotline website

[www.fdicigoig.gov/oig-hotline](http://www.fdicigoig.gov/oig-hotline)

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### Hotline telephone number

1-800-964-FDIC

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### Mailing address

FDIC Office of Inspector General Hotline  
(and/or the FDIC OIG Whistleblower  
Protection Coordinator)  
3501 Fairfax Drive  
Room VS-D-9069  
Arlington, VA 22226

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